

IFW)

N 1 7 2006		
PLADEM MANAGE	Application Number	10/821,296
	Filing Date	April 8, 2004
TRANSMITTAL FORM	First Named Inventor	David L. Shannon
(to be used for all correspondence during pendency of filed application)	Group Art Unit Number	2636
·	Examiner Name	Julie Bichngoc Lieu
Total Number of Pages in This Submission 5	Attorney Docket Number	21790-07821
ENCLOSURES	(check all that appl	y)
Fee Transmittal Form (in duplicate) Check Enclosed Return Receipt Postcard Response to Notice to File Missing Parts Assignment & Recordation Cover Sheet Declaration Power of Attorney Application Data Sheet Supplemental Information Disclosure Statement & PTO/SB/08A Copies of IDS Cited References Request for Corrected Filing Receipt Request for Correction of Recorded Assignment Amendment/Response: [] Page(s) After Final Status Request Revocation and Substitute Power of Attorney REMARKS:	Issue Fee Trans Letter to Chief D Formal Drawing([] Sheet(s	mittal raftsperson s): s) of Figure(s) [] iication to Board of Appeals and
SIGNATURE OF	ATTORNEY OR AGEN	NT .
Signature:	/ I TOTALL OIL AGE!	, 1
Attorney/Reg. No.: Jennifer P. Bush, Reg. No. 50,78	34	Dated: 1 12 04
	ATE OF MAILING identified above, is being deposit tents, P.O. Box 1450, Alexandria, espondence is being deposited w	ed with the United States Postal Service as VA 22313-1450 on the date shown below.
Express Mail Mailing Number (optional):		1 1

OIPE 43. JAN 17 2006

TRANSMITTAL for FY 2006

Patent fees are subject to annual revision.

Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT

(\$) 180.00

	Complete if Known
Application Number	10/821,296
Filing Date	April 8, 2004
First Named Inventor	David L. Shannon
Examiner Name	Julie Bichngoc Lieu
Art Unit	2636
Attorney Docket No.	21790-07821

METHOD OF PAYMENT (check all that apply)		FEE CALCULATION (continued)			
☐ Check ☐ Credit Card ☐ Money Order ☐ Other ☐ None ☐ Deposit Account:	3. ADDITION	AL FEES			
Deposit Account Number 19-2555	Large Entity	Small Entity	Fee Description	Fee Paid	
Deposit Account Name Fenwick & West LLP	Fee Fee Code (\$)	Fee Fee Code (\$)			
The Commissioner is authorized to: (check all that apply)	1051 130	2051 65	Surcharge - late filing fee or oath or declaration		
☐ Charge fee(s) indicated below ☐ Credit any overpayments	1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet		
Charge all required fee(s) or any underpayment of fee(s) due under 37 CFR §1.16 or §1.17 during the pendency of this	1053 130	1053 130	Non-English specification		
application	1812 2,520	1812 2,520	For filing a request for ex parte reexamination		
Charge fee(s) indicated below, except for the filing fee to	1804 • 920*	1804 920*	Requesting publication of SIR prior to Examiner action		
the above-identified deposit account.	1805 1,840*	1805 1,840*	Requesting publication of SIR after Examiner action		
FEE CALCULATION	1251 120	2251 60	Extension for reply within first month		
1. BASIC FILING FEE	1252 450	2252 225	Extension for reply within second month		
Large Entity Small Entity	1253 1020	2253 510	Extension for reply within third month		
Fee Fee Fee Fee Pee Paid	1254 1,590	2254 795	Extension for reply within fourth month		
Code (\$) Code (\$)	1255 2,160	2255 1,080	Extension for reply within fifth month		
	1401 500 1402 500	2401 250 2402 250	Notice of Appeal		
 	1402 500	2402 250	Filing a brief in support of an appeal Request for oral hearing		
	1451 1,510	1451 1,510	Petition to institute a public use proceeding		
	1452 500	2452 250	Petition to revive - unavoidable		
SUBTOTAL (1) (\$) 0	1453 - 1,500	2453 750	Petition to revive - unintentional		
2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE	1501 1,400	2501 700	Utility issue fee (or reissue)		
Extra Claims Fee from below Fee Paid	1502 800	2502 400	Design issue fee		
Total Claims -20**= X =	1503 1100	2503 550	Plant issue fee		
Claims X = X	1460	1460	Petitions to the Director		
Multiple Dependent	1807 50	1807 50	Processing fee for Provisional Applications		
Large Entity Small Entity	1806 180	1806 180	Submission of Information Disclosure Stmt	180	
Fee Fee Code (\$) Fee Description	8021 40	8021 40	Recording each patent assignment per property (times number of properties)		
1202 50 2202 25 Claims in excess of 20	1809 790	2809 395	Filing a submission after final rejection (37 CFR 1.129(a))		
1201 200 2201 100 Independent claims in excess of 3	1810 790	2810 395	For each additional invention to be examined (37 CFR 1.129(b))		
1203 360 2203 180 Multiple dependent claim, if not paid	1801 790	2801 395	Request for Continued Examination (RCE)		
1204 200 2204 100 **Reissue independent claims over original patent	1802 900	1802 900	Request for expedited examination of a design application		
1205 50 2205 25 **Reissue claims in excess of 20 and over original patent	Other fee (specif	y)			
SUBTOTAL (2) (\$) 0			SUBTOTAL (3) (\$) 180		
"or number previously paid, if greater; For Reissues, see above	*Reduced by Basic	c Filing Fee Paid	305101AL (3) (4) 100		
			<u> </u>		
CUDATED DV					

SUBMITTED BY				Complete (if	applicable)
Name (Print/Type)	Jennifer R. Bush	Registration No. (Attorney/Agent) 50,784		Telephone	(650) 335-7213
Signature	CONCE	\mathcal{N}	Date	1/12/	66
				1 1	

JAN 17 2006

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

LICANT

David L. Shannon, Gregory Y.H. Lee and Christopher A.

Stephenson

APPLICATION NO.:

10/821,296

FILING DATE:

April 8, 2004

TITLE:

Continuous Security State Tracking for Intermodal Containers

Transported Through a Global Supply Chain

EXAMINER:

Julie Bichngoc Lieu

GROUP ART UNIT:

2636

ATTY. DKT. NO.:

21790-07821

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on the date shown below:

Dated:	By:
	Jennifer R. Bush, Reg. No.: 50,784

MAIL STOP AMENDMENT COMMISSIONER FOR PATENTS P.O. BOX 1450 ALEXANDRIA, VA 22313-1450

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT Under 37 CFR §§ 1.56 and 1.97-98

SIR:

Pursuant to the provisions of 37 CFR §§ 1.56 and 1.97-98, enclosed herewith is modified form PTO/SB/08A listing references for consideration by the Examiner.

The filing of this Information Disclosure Statement shall not be construed as a representation regarding the completeness of the list of references, or that inclusion of a reference in this list is an admission that it is prior art or is pertinent to this application, or that a search has been made, or as an admission that the information listed is, or may be considered to be, material to patentability, or that no other material information exists, and shall not be construed as an admission against interest in any manner.

This Information Disclosure Statement is being filed:

within three months of the filing date of the application, or date of entry into
the national stage of an international application, or before the mailing date of
a first office action on the merits, whichever event last occurred;

01/18/2006 MWDLDGE1 00000003 10821296

01 FC:1806

180.00 OP

Ц			ailing of a first official action after the filing of a request for
С			amination (RCE) under 37 CFR § 1.114;
			onths of the filing date of this national application or the date of
	•		ational stage in an international application, or after the mailing
			est official action on the merits, whichever event last occurred, but
			ailing date of the first to occur of either: (1) a final action under
			13; or (2) an action that otherwise closes prosecution in the
	applic	ation, a	•
			hed hereto is the fee set forth under 37 CFR §1.17(p) for
			nission of this Information Disclosure Statement under 37 CFR.§
		1.97((c); OR
		Appl	icant certifies pursuant to 37 CFR § 1.97(e) that:
			each item of information contained in this Information
			Disclosure Statement was first cited in a communication from
		•	a foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
			OR
			no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and,
			to the knowledge of the person signing this certification after
			making reasonable inquiry, no item of information contained
			in this Statement was known to any individual designated
			under 37 CFR § 1.56(c) more than three months prior to the
		•	filing of this Statement;
\boxtimes	on or	before	the payment of the issue fee but after the mailing date of the first
	to oc	cur of e	ither: (1) a final action under 37 CFR § 1.113; (2) a notice of
	allow	ance ur	nder 37 CFR § 1.311; or (3) an action that otherwise closes
	prose	cution	in the application, and:
	\boxtimes	Appl	icant certifies pursuant to 37 CFR. § 1.97(e) that:
			each item of information contained in this Information
		•	Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application not
			more than three months prior to the filing of this Statement;
		\boxtimes	no item of information contained in this Information
			Disclosure Statement was cited in a communication from a
			foreign patent office in a counterpart foreign application and.

to the knowledge of the person signing this certification after making reasonable inquiry, no item of information contained in this Statement was known to any individual designated under 37 CFR § 1.56(c) more than three months prior to the

		filing of this Statement; AND
		attached hereto is the fee set forth under 37 CFR §1.17(p) for
		submission of this Information Disclosure Statement under 37 CFR.§
		1.97(d); OR
		after the payment of the issue fee. Applicant requests that the information
		contained in this Information Disclosure Statement be placed in the file
		according to 37 CFR § 1.97(i), although the information may not be
		considered by the USPTO.
		Enclosed is a copy of each listed reference that may be material to the examination of
		this application, and for which there may be a duty to disclose.
		This application relies, under 35 U.S.C. § 120, on the earlier filing date of prior
4		application No, filed on, and the references cited therein are hereby
		referenced, but are not required to be provided in this application under 37 CFR §
		1.98(d).
		Copies of any foreign patent documents and non-patent literature cited herein are
		enclosed.
		Each item of information contained in this Information Disclosure Statement was
		cited in a communication from a foreign patent office in a counterpart application,
		and the communication was not received by any individual designated in 37 CFR §
		1.56(c) more than thirty days prior to the filing of this Information Disclosure
		Statement. 37 CFR § 1.704(d).
		Applicant submits that no fee is required for the consideration of this Information
	•	Disclosure Statement.
•	Consid	leration of the listed references and favorable action are solicited.
		Respectfully submitted, DAVID L. SHANNON ET AL.
		/
		$\Omega \Omega = \Omega \Omega \Omega$
Dated:		12 06 By: W/ (0)
		Jennifer R. Buch, Reg. No. 50,784
		Fenwick & West LLP Silicon Valley Center
		801 California Street
		Mountain View, CA 94041
		Tel.: (650) 335-7213

Fax.: (650) 938-5200

Substitute for form 1449A/PTO		Complete if Known
INFORMATION DISCLOSURE	Application No.	10/821,296
STATEMENT BY APPLICANT	Filing Date	April 8, 2004
JAN 1 7 2006	First Named Inventor	David L. Shannon
10	Art Unit	2636
Sheet 1 of 1	Examiner Name	Julie Bichngoc Lieu
Sheet 1 of 1	Attorney Docket Number	21790-07821

U.S. PATENT DOCUMENTS					
		Document No.			
Examiner Initials*	Cite No.1	Number – Kind Code ² (if known)	Date , MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	
	A1	US-6,927,687	08-09-2005	Carrender	
	A2	US-5,892,441	04-06-1999	Woolley et al.	
	А3 -	US-5,835,012	11-10-1998	Wilk	
		US-			
		US-			

			FOREIGN PATENT	OCUMENTS	
		Foreign Patent Document			
Examiner Initials*	Cite No. ¹	Country Code ³ – Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	, I _e

		OTHER REFERENCES – NON-PATENT LITERATURE DOCUMENTS	
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T ⁶
	ļ	·	
-	1		<u>-</u> .
	<u> </u>		
		· · · · · · · · · · · · · · · · · · ·	
			,
	ļ <u>-</u>		
	ļ <u></u>		

Examiner		Date	
Signature		Considered	
	·		

^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609.

Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.